

Q2 | 2021

Ethos Funds

General meetings of companies outside Switzerland

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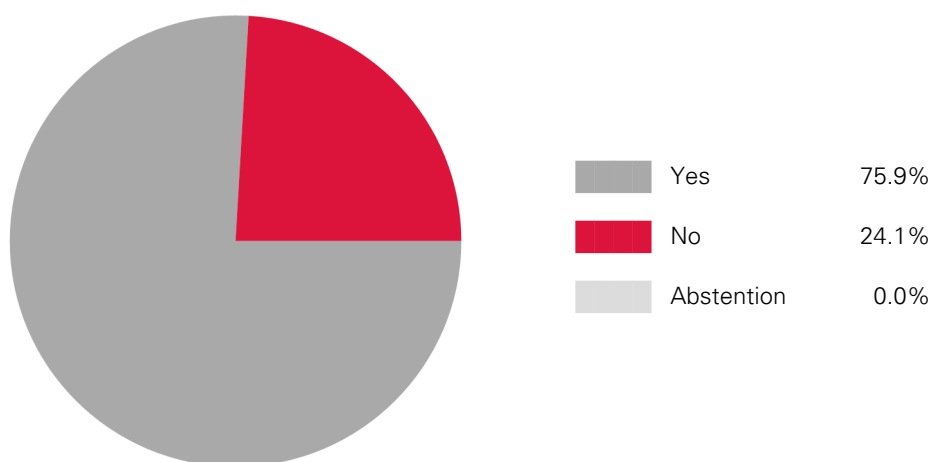
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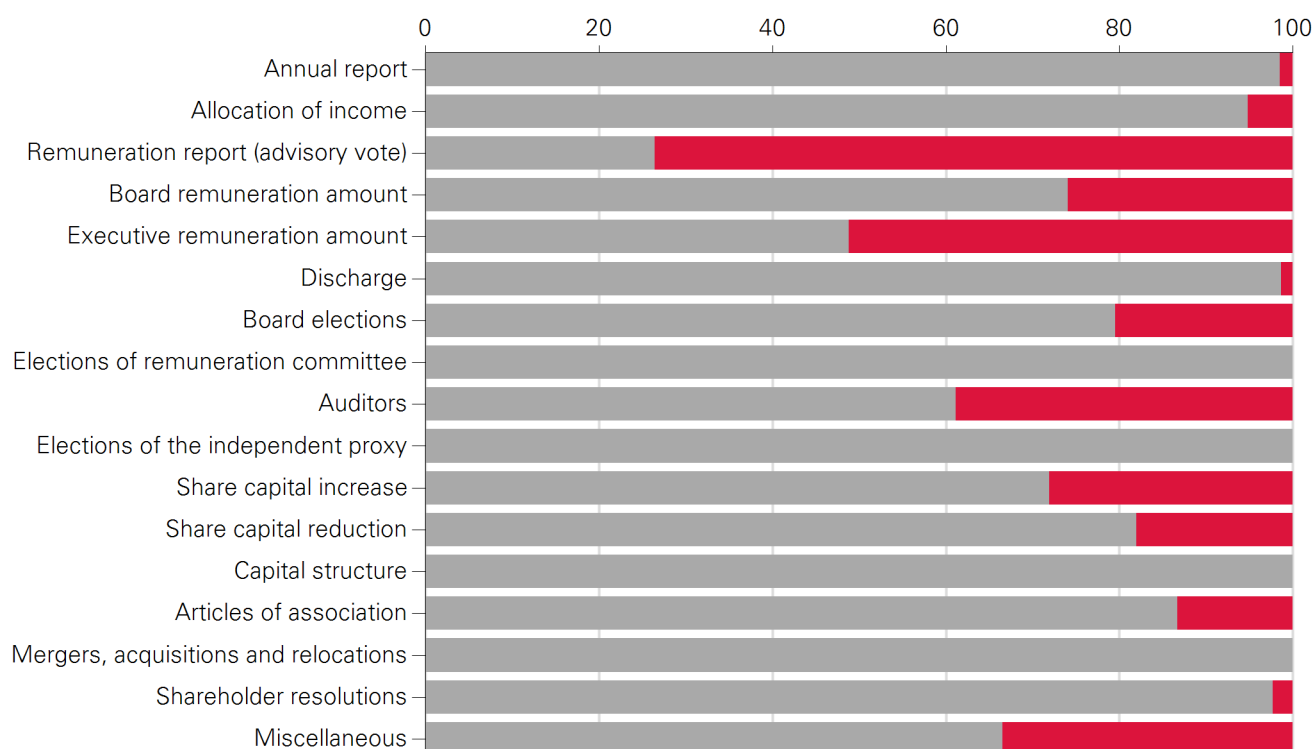
1 Overview of the proxy analyses

Type of General Meeting	Number of meetings	Number of Proposals			
		Total	Yes	No	Abstention
Annual general meetings	89	1346	1032	314	0
Extraordinary general meetings	3	14	11	3	0
Annual and extraordinary general meetings	17	426	313	113	0
Total	109	1786	1356	430	0

1.1 Ethos voting positions



1.2 Ethos voting positions per category of proposal



	Proposals approved		Proposals refused		Abstain		Number of proposals
Annual report	66	98,5%	1	1,5%	0	0,0%	67
Allocation of income	55	94,8%	3	5,2%	0	0,0%	58
Remuneration report (advisory vote)	23	26,4%	64	73,6%	0	0,0%	87
Board remuneration amount	20	74,1%	7	25,9%	0	0,0%	27
Executive remuneration amount	20	48,8%	21	51,2%	0	0,0%	41
Discharge	72	98,6%	1	1,4%	0	0,0%	73
Board elections	645	79,5%	166	20,5%	0	0,0%	811
Elections of remuneration committee	6	100,0%	0	0,0%	0	0,0%	6
Auditors	52	61,2%	33	38,8%	0	0,0%	85
Elections of the independent proxy	3	100,0%	0	0,0%	0	0,0%	3
Share capital increase	110	71,9%	43	28,1%	0	0,0%	153
Share capital reduction	50	82,0%	11	18,0%	0	0,0%	61
Capital structure	2	100,0%	0	0,0%	0	0,0%	2
Articles of association	39	86,7%	6	13,3%	0	0,0%	45
Mergers, acquisitions and relocations	4	100,0%	0	0,0%	0	0,0%	4
Shareholder resolutions	42	97,7%	1	2,3%	0	0,0%	43
Miscellaneous	145	66,5%	73	33,5%	0	0,0%	218

2 Overview of the voting recommendations

Type of General Meeting (Type)

AGM	Annual general meetings
EGM	Extraordinary general meetings
MIX	Annual and extraordinary general meetings

Votings

✓	For
◐	Partly for
✗	Oppose
✕	Abstain

Company	Date	Type	Annual report	Allocation of income	Remuneration report (advisory vote)	Board remuneration amount	Executive remuneration amount	Discharge	Board elections	Elections of remuneration committee	Auditors	Elections of the independent proxy	Share capital increase	Share capital reduction	Capital structure	Articles of association	Mergers, acquisitions and relocations	Shareholder resolutions	Miscellaneous
3M Company	11.05.2021	AGM			✗				◐		✗							✓	✗
Accell Group	21.04.2021	AGM	✓		✓	✓		✓	◐		✓		✓	✓					
Adobe	20.04.2021	AGM			✗				◐		✗								✗
AFLAC	03.05.2021	AGM			✗				◐		✗								
Allianz	05.05.2021	AGM		✓		✗		✓								✓			✗
AMS	02.06.2021	AGM		✓	✗	✓		✓	✓		✓		✓	✗		✓			◐
Aquafil	28.04.2021	AGM	✓	✓	✗														◐
Arcadis	29.04.2021	AGM	✓	✓	✓	✓		✓	◐		✓		✓	✓					✓
Assicurazioni Generali	29.04.2021	MIX	✓	✓	✗								✓	✓	✓				◐
Atlas Copco	27.04.2021	AGM	✓	✓	✗	✓		✓	◐		✓								◐
Atos	12.05.2021	MIX	◐	✓	✓	✓	✗		✓				✓	✓		✓			◐
Autodesk	16.06.2021	AGM			✗				◐		✗								
Avery Dennison	22.04.2021	AGM			✗				◐		✗								
Baker Hughes	14.05.2021	AGM			✗				◐		✓								◐
Bank of New York Mellon Corp.	13.04.2021	AGM			✗				◐		✓							✓	
Best Buy	16.06.2021	AGM			✓				✓		✓							✓	
BioMerieux	20.05.2021	MIX	✓	✓	✓		◐	✓	✓				◐	◐		◐			◐
Brenntag	10.06.2021	AGM		✓		✗		✓			✓								✓
Bureau Veritas	25.06.2021	MIX	✓	✓	✗	✓	✗		✓				◐	✓		✓			◐
Carrefour	21.05.2021	AGM	✓	✓	✗		✗		◐		◐		◐	✓					◐
Charles Schwab Corp.	13.05.2021	AGM			✗				◐		✗							✓	
Citrix Systems	04.06.2021	AGM			✗			✓			✗							✓	

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CME Group	05.05.2021	AGM			✗				🟡		✔								
Coca-Cola HBC	22.06.2021	AGM	✔	✔	✗	✔	✗	✔	🟡		✔	✔		✔					✗
Corbion	19.05.2021	AGM	✔	✔	✔			✔	✔		✔		✔	✔					✔
Corticeira Amorim	23.04.2021	AGM	✔	✗				✔	✗		✔		✔	✔		✔			🟡
CVS Health	13.05.2021	AGM			✗				✔		✔							🟡	
Daiwa House Industry	29.06.2021	AGM		✔					🟡										✔
Dell Technologies	22.06.2021	AGM			✗				🟡		✗								
Dollar Tree	10.06.2021	AGM			✗				🟡		✗								✗
Duke Realty	28.04.2021	AGM			✗				🟡		✗								
Eaton	28.04.2021	AGM			✗				🟡		✗		✔	✗					
Edenred	11.05.2021	MIX	✔	✔	✗	✔	✗		🟡				✗	✔		✗			🟡
Electrolux Professional	28.04.2021	AGM	✔	✔	✗	✔		✔	✔		✔					✔			🟡
Elekta	16.04.2021	EGM		✔															✔
Eli Lilly	03.05.2021	AGM			✗				🟡		✗					✔		✔	✔
Elis	20.05.2021	AGM	✔	✔	✗	✔	🟡		✔				✔	🟡					🟡
Fresenius SE & Co. KGaA	21.05.2021	AGM	✔	✔		✗		✔	🟡		✔								✗
Fujifilm Holdings	29.06.2021	AGM		✔					🟡										🟡
Geberit	14.04.2021	AGM	✔	✔	✗	✔	✔	✔	✔	✔	✗	✔		✔					
General Motors	14.06.2021	AGM			✗				🟡		✔							✔	
Gilead Sciences	12.05.2021	AGM			✗				🟡		✗							✔	
Hang Seng Bank	26.05.2021	AGM	✔						🟡		✔		✔	✔		✔			
Henkel AG & Co. KGaA	16.04.2021	AGM	✔	✔			✔	✔	✔		✔					✔			✗
Home Depot	20.05.2021	AGM			✗				🟡		✗							✔	
Honda Motor	23.06.2021	AGM							✔							✔			
Hong Kong Exchange & Clearing	28.04.2021	AGM	✔						🟡		✔		✔	✔					
HP	13.04.2021	AGM			✗				✔		✗							✔	
IBM	27.04.2021	AGM			✗				🟡		✗							✔	
Illinois Tool Works	07.05.2021	AGM			✗				🟡		✔							✔	
Intel	13.05.2021	AGM			✗				✔		✗							✔	
Intertek Group	26.05.2021	AGM	✔	✔	✗				✔		✔		✔	✔		✔			🟡
Jerónimo Martins, SGPS, S.A.	08.04.2021	AGM	✔	✔			✔												✗
Juniper Networks	13.05.2021	AGM			✗				🟡		✗								

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Kingspan Group	30.04.2021	AGM	✓	✓	✓	✓			○		✓		✓	✗					✗
KION Group	11.05.2021	AGM		✓		✓		○			✗			✓		✓			✗
Klepierre	17.06.2021	MIX	✓	✓	✓	✓	✓		✓				○	✓					○
Koninklijke KPN	14.04.2021	AGM	✓	✓	✓			✓	✓		✓		✓	✓					
Legrand	26.05.2021	MIX	✓	✓	✓	✓	✓		✓				✗	✓		✓			✓
L'Oréal	20.04.2021	MIX	✓	✓	✗		✓		○				✓	✓		✓			○
Marketaxess Holdings	09.06.2021	AGM			✗				○		✗								
Mazda Motor	24.06.2021	AGM							○										✓
NEC Corp.	22.06.2021	AGM							✓										✓
NN Group	20.05.2021	AGM	✓	✓	✓			✓	✓				✓	✓					✓
Nvidia	03.06.2021	AGM			✗				○		✓		✗						
Ocado Group	13.05.2021	AGM	✓		✗				○		✓		✓	✗					○
Omega Healthcare Investors	03.06.2021	AGM			✗				○		✗								
Omnicom Group	04.05.2021	AGM			✗				○		✓							✓	✗
OMV	02.06.2021	AGM		✓	✗	✓		✓	✗		✓			✓					✗
Oriental Land	29.06.2021	AGM		✗					✗							✓			
Persimmon	28.04.2021	AGM	✓		✓				○		✓		✓	✗		✓			✗
Pfizer	22.04.2021	AGM			✗				○		✗							✓	
PPG Industries	15.04.2021	AGM			✗				○		✓					✓		✓	✓
Publicis Groupe	26.05.2021	MIX	✓	✓	✓	✗	○		○				○	✓		✓			○
Quest Diagnostics	21.05.2021	AGM			✗				○		✗							✓	
RELX Plc	22.04.2021	AGM	✓	✓	✗				○		✓		✓	✗					✗
Ricoh	24.06.2021	AGM		✓					○										✓
Robert Half International	19.05.2021	AGM			✗				○		✓								
S&P Global	05.05.2021	AGM			✗				○		✗							✓	✗
Sanofi	30.04.2021	MIX	✓	✓	✓	✗	✗		○				○	✓		✓			○
Schneider Electric	28.04.2021	MIX	✓	✓	✓		✗		○				○	✓					○
Scor	30.06.2021	MIX	✓	✓	✓	✓	✗		○				○	✓		✓			○
Seagate Technology Holdings	14.04.2021	EGM														✓	✓		✗
SEB	20.05.2021	MIX	✓	✓	✗		✗		○		✓		○	○		○			○
SEI Investments	02.06.2021	AGM			✓				○		✓								
Seiko Epson	25.06.2021	AGM		✓					○										✓

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Sekisui House	27.04.2021	AGM		✓					✓							✓			✓
Seven & I Holdings Co.	27.05.2021	AGM		✓					○										✓
Société Générale	18.05.2021	AGM	✓	✓	✓	✗	✓		○					✓					○
Sonova	15.06.2021	AGM	✓	✓	✓	✓	✗	✓	○	✓	✓	✓		✓					
SPIE	12.05.2021	AGM	✓	✓	✗		✓		○				✓	✓					○
Subaru Corporation	23.06.2021	AGM		✓					✓										✓
Synopsys	08.04.2021	AGM			✗				○		✗							✓	✗
Target	09.06.2021	AGM			✗				○		✗							✓	
Teleperformance	22.04.2021	MIX	✓	✓	✓		✗		○				✓	✓		✓			○
Tesco	25.06.2021	AGM	✓	✓	✗				○		✓		✓	✗		✗			○
Texas Instruments	22.04.2021	AGM			✗				○		✗							✓	
Trane Technologies	03.06.2021	AGM			✗				○		✗		✓						✓
Twitter	27.05.2021	AGM			✗				✓		✓					✓		✓	
UCB	29.04.2021	AGM	✓		✗		✓		✓		✓								○
Umicore	29.04.2021	AGM	✓		✓	✓		✓	○		✓								✓
Veolia Environnement	22.04.2021	MIX	✓	✗	✗		✗		○				○	✗		✓			○
Verallia	15.06.2021	MIX	✓	✓	✓		✗		✗				○	✓		✓			○
Verizon Communications	13.05.2021	AGM			✗				○		✗							✓	
Waters Corp	11.05.2021	AGM			✗				○		✗								
Western Union	14.05.2021	AGM			✗				✓		✓							✓	
Worldline	20.05.2021	MIX	✓	✓	✓		✓		✓				○	✓			✓		✓
Xilinx	07.04.2021	EGM															✓		✗
Yum! Brands	11.05.2021	AGM			✗				○		✗								

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